

Docket No.: 4887P454X

N THE CHIED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

STEVEN VERHAVERBEKE, ET AL.

Application No.: 09/891,849

Filed: June 25, 2001

For: Method and Apparatus for Wafer Cleaning

Art Group: 1746

Examiner: Markoff, Alexander

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

02/27/2007 SDENBOB1 00000031 09891849

01 FC:1806

180.00 OP



FEE TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

180.00

Complete if Known				
Application Number	09/891,849			
Filing Date	June 25, 2001			
First Named Inventor	Steven Verhaverbeke			
Examiner Name	Markoff, Alexander			
Art Unit	1746			
Attorney Docket No.	4887P454X			

METHOD OF PAYMENT (check all that apply)							
Check □ Credit card □ Money Order □ None □ Other (please identify):							
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP							
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.							
FEE CALCULATION							
1. EXTRA CLAIM FEES Extra Claims Fee from bolow Fee Paid Total Claims 45 207** = 0 19 ** = 0 0 x 200.00 0 x 200.00 = \$0.00 Independent Claims 1 9 ** = 0 0 x 200.00 = \$0.00 Multiple Dependent \$0.00							
Large Entity Small Entity							
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)							
1202 50 2202 25 Claims in excess of 20 1201 200 2201 100 Independent claims in excess of 3							
1203 360 2203 180 Multiple Dependent claim, if not paid							
1204 790 2204 395 **Reissue independent claims over original patent	**or number previously paid, if greater, For Reissues, see below						
1203 300 2203							
SUBTOTAL (1) (\$) 0.00							
2. ADDITIONAL FEES							
Large Entity Small Entity Fee Fee Fee Fee							
Fee Fee Fee Fee Code (\$) Fee Description	Fee Paid						
1051 130 2051 65 Surcharge - late filing fee or oath							
1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet.							
2053 130 2053 130 Non-English specification							
1251 120 2251 60 Extension for reply within first month							
1252 450 2252 225 Extension for reply within second month							
1253 1,020 2253 510 Extension for reply within third month							
1254 1,590 2254 795 Extension for reply within fourth month 1255 2,160 2255 1,080 Extension for reply within fifth month							
1255 2,160 2255 1,080 Extension for reply within fifth month 1401 500 2401 250 Notice of Appeal							
1402 500 2402 250 Filing a brief in support of an appeal							
1403 1,000 2403 500 Request for oral hearing							
1451 1,510 Petition to institute a public use proceeding							
1460 130 2460 130 Petitions to the Commissioner							
1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	180.00						
1806 180 1806 180 Submission of Information Disclosure Stmt 1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a))							
1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b))							
Other fee (specify)							
SUBTOTAL (2)	(\$) 180.00						
000101NE (2)	(\$) 180.00						

SUBMITTED BY Complete (if applicable)				olete (if applicable)	
			(408) 720-8300		
Signature	New Bergy			Date	2-22-07

AMAT REF: 004711/P1

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Date: 2-22-07

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Substitute for Form 1449/PTO			Complete if Known			
INFORMATION DISCLOSURE 1				Application Number	09/891,849	
				Filing Date	June 25, 2001	
STATEMENT BY APPLICANE 26 2007 8			First Named Inventor:	Steven Verhaverbeke		
(use as many sheets as necessary)				Art Unit	1746	
TRADEAMET					Examiner Name	Markoff, Alexander
Sheet	1		of	1	Attorney Docket Number	004711/P1
			U.S. PATEN	NT DOCUMENTS	3	
Examiner Initials*	Cite No.1		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ² (If known)				Figures Appear
1		US-	5,158,100	10/27/1992	Tanaka et al.	
		US-	6,334,902	1/1/2002	Mertens et al.	
		US-	5,379,785	1/10/1995	Ohmori et al.	
		US-	5,909,741	6/8/1999	Ferrell	
		US-	5,996,601	12/7/1999	Kern et al.	
		US-	6,021,791	2/8/2000	Dryer et al.	
		US-	6,595,224	7/22/2003	Miranda et al.	
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Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T®
	WO 98/14985 A1	4/9/1998	Verteq Inc.		

Examiner	Date Considered	
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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